



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Pauley et al.
Serial No.: 09/414,764
For: RAPID EXPANSION TAMPON PLEDGET
Filed: October 7, 1999
Examiner: NOT YET ASSIGNED
Art Unit: 3735
Atty. Docket No.: 460.1791USU

**BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF PROVISIONAL APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.53(c)**

Transmitted herewith are the following documents pertaining to the above-identified application:

XX Declaration and Power of Attorney executed by Suzanne M. Pauley, Jeffrey M. Brown, Irwin Butensky, Dane R. Jackson;

____ Declaration Claiming Small Entity Status (Small Business Concern);

____ Sheets of Formal Drawings;

XX Assignment of the Invention including \$40.00 recordation fee and Assignment Recordation Cover Sheet for Suzanne M. Pauley, Jeffrey M. Brown, Irwin Butensky, Dane R. Jackson;

____ A Certified Copy of the Priority Application;

X A copy of the Notice to File Missing Parts of Application Filing Date Granted.

____ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$760.00
Total Claims	20 - 20 =	0	0 x \$18.00	\$0.00
Independent Claims	3 - 3 =	0	0 x \$78.00	\$0.00
Multiple Dependent Claim Fee			x \$270.00 = \$	
TOTAL FILING FEE			PAID \$760.00	
1/2 FILING FEE FOR SMALL ENTITY				

X A surcharge for late filing of missing parts in the amount \$130.00 (\$65.00 for small entities).

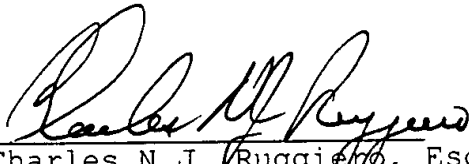
X A check in the amount of \$170.00 to cover the surcharge (\$130.00) and the Assignment Recordation Fee (\$40.00).

X The Commissioner is hereby authorized to charge any additional fees which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

X Transmittal Letter in Duplicate;

X Postcard.

December 13, 1999
Date


Charles N.J. Ruggiero, Esq.
Ohlandt, Greeley, Ruggiero & Perle L.L.
Attorney for Applicants
Registration No. 28,468
Tel: (203) 327-4500
Fax: (203) 327-6401

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON DECEMBER 13, 1999.

Charles N.J. Ruggiero
NAME OF REGISTERED REP.


SIGNATURE

12/13/99
DATE



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/414,764 10/07/99 PAULEY

S 460.1791USU

0242/1102

CHARLES N.J. RUGGIERO
OHLAND GREELEY RUGGIERO & PERLE LLP
ONE LANDMARK SQUARE
9TH FLOOR
STAMFORD CT 06901-2682

NOT ASSIGNED

3735

DATE MAILED:

11/02/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

- \$_____ for _____ total claims over 20.
\$_____ for _____ independent claims over 3.
\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the signature number and Filing Date is required.

The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/16/1999 MSHIFERA 00000082 0941764 130.00-0P 01 FC:105